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# Polynomials having leading terms over $\mathbb{C}^2$ in the Fock space

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## 1. INTRODUCTION

Let  $\mathcal{C} = \mathcal{C}(\mathbb{C}^2)$  be the set of polynomials and  $\text{Hol}(\mathbb{C}^2)$  be the space of entire functions on  $\mathbb{C}^2$ . We denote  $L_a^2(\mathbb{C}^2)$  by the Hilbert space of functions  $f \in \text{Hol}(\mathbb{C}^2)$  satisfying

$$\|f\|^2 = \int_{\mathbb{C}^2} |f(z, w)|^2 e^{-\frac{|z|^2 + |w|^2}{2}} dA / (2\pi)^2,$$

where  $dA$  denotes the Lebesgue measure on  $\mathbb{C}^2$ . It is easy to see that  $\|z^n w^m\|^2 = 2^{n+m} n! m!$ ,  $\{z^n w^m / \|z^n w^m\|\}_{n,m}$  is the orthonormal basis of  $L_a^2(\mathbb{C}^2)$ , and  $\mathcal{C}$  is dense in  $L_a^2(\mathbb{C}^2)$ . The space  $L_a^2(\mathbb{C}^2)$  is called the Fock space or the Segal-Bargmann space. The Fock space has arrested much attention because of the closed relationship between the operator theory on it and the Weyl quantization.

In [9], Guo and Zheng showed that if  $M$  is a non-zero closed subspace of  $L_a^2(\mathbb{C}^2)$ , then there are no non-constant multipliers of  $M$ , that is, if  $\varphi M \subset M$  and  $\varphi \in \text{Hol}(\mathbb{C}^2)$  then  $\varphi$  is constant. So, in the Fock space we can not consider “invariant subspaces” for the multiplication operators  $T_z$  and  $T_w$ . As an appropriate substitution, Guo and Zheng defined “quasi-invariant subspaces”. Let  $M$  be a closed subspace of  $L_a^2(\mathbb{C}^2)$ .  $M$  is called *quasi-invariant* if  $pM \cap L_a^2(\mathbb{C}^2) \subset M$  for each polynomial  $p$ . They proved that for each finite codimensional ideal  $I$  of the polynomial ring  $\mathcal{C}$ , the closure of  $I$  is quasi-invariant. In [7], Guo proved that if  $p \in \mathcal{C}$  is homogeneous, then  $[p] = \overline{p\mathcal{C}}$  is quasi-invariant. As Douglas and Poulsen [4] and Guo [5, 6, 7, 8], it is natural to classify all quasi-invariant subspaces in a reasonable sense.

Let  $M_1$  and  $M_2$  be quasi-invariant subspaces of  $L_a^2(\mathbb{C}^2)$ . A bounded linear operator  $T : M_1 \rightarrow M_2$  is called a *quasi-module map* if  $T(pf) = pT(f)$  whenever  $pf \in M_1$ ,  $p \in \mathcal{C}$ , and  $f \in M_1$ . We say that  $M_1$  and  $M_2$  are *similar* if there exists an invertible quasi-module map  $T : M_1 \rightarrow$

$M_2$  such that  $T^{-1} : M_2 \rightarrow M_1$  is a quasi-module map. Also we say that  $M_1$  and  $M_2$  are *quasi-similar* if there exist quasi-module maps  $T_1 : M_1 \rightarrow M_2$  and  $T_2 : M_2 \rightarrow M_1$  with dense range. In the case of the one dimensional Fock space, Chen, Guo, and Hou [2] showed that  $[p]$  is quasi-invariant and determined the similarity orbit of  $[p]$  for every  $p \in \mathcal{C}$ . In the multi-dimensional case, Guo [7] determined the similarity orbit of  $[z^n]$ . It is open to determine the similarity orbit of  $[p]$ . For the Fock space, see also [1, 3].

Let  $p \in \mathcal{C}$  and  $p(z, w) = \sum_{i=0}^{d(p)} p_i(z, w)$  the homogeneous expansion of  $p$ , where  $d(p)$  denotes the homogeneous degree of  $p$ . We note  $p_{d(p)} \neq 0$ . When

$$p_{d(p)}(z, w) = a_{n,m} z^n w^m \quad \text{and} \quad p(z, w) = \sum_{i \leq n, j \leq m} a_{i,j} z^i w^j,$$

Guo and Hou [8] said that  $p$  has a leading term  $z^n w^m$ . And they showed that if  $p$  has a leading term  $z^n w^m$ , then  $[p]$  is quasi-invariant, and a quasi-invariant subspace  $M$  is similar to  $[p]$  if and only if  $M = [q]$  for some  $q \in \mathcal{C}$  having the same leading term as  $p$ . In the definition due to Guo and Hou, the set of polynomials having leading terms  $z^n w^m$  is a fairly restricted class. So in this paper, we generalize the concept of "leading terms" replacing  $a_{n,m} z^n w^m$  by a general homogeneous polynomial.

Let  $P$  be a homogeneous polynomial. We can write  $P$  as

$$(1.1) \quad P(z, w) = a w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j},$$

where  $a, \alpha_j \in \mathbb{C}$ ,  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . We note  $d(P) = \sum_{j=0}^k l_j$ . Associate with  $P$ , let

$$A = \{\alpha_j; 1 \leq j \leq k\}$$

and we define domains by

$$\Omega_{A,r} = \bigcup_{j=1}^k \{(z, w) \in \mathbb{C}^2; |z - \alpha_j w| < r\},$$

for every  $r > 0$ . Let  $q$  be another polynomial with  $d(q) \leq d(P)$ . If  $q$  has the following form

$$q(z, w) = \sum_{l'_j \leq l_j} a_{(l'_0, \dots, l'_k)} w^{l'_0} \prod_{j=1}^k (z - \alpha_j w)^{l'_j},$$

$q$  is said to be *dominated* by  $P$ , and we write as  $q \ll P$ . If  $p$  is a polynomial and  $p \ll p_{d(p)}$ , we say that  $p$  has a *leading term*  $p_{d(p)}$ .

Generally some polynomials may not have leading terms. But the set of polynomials having leading terms is a fairly big class in  $\mathcal{C}$ . In this paper, we study polynomials having leading terms and prove the same type of assertions given by Guo and Hou in [8].

In Section 2, under the condition  $l_0 = 0$  in (1.1), we characterize  $q \in \mathcal{C}$  satisfying  $q \ll P$ .

Even if  $p_{d(p)} = P$  and  $l_0 = 0$ ,  $p$  may vanish in  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for every  $r > 0$ . In Section 3, we characterize polynomials  $p$  satisfying  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ .

Let  $\mathcal{C}_A$  be the set of homogeneous polynomials  $q$  such that

$$q(z, w) = aw^{i_0} \prod_{j=1}^k (z - \alpha_j w)^{i_j}, \quad a \neq 0.$$

In Section 4, we prove that  $p$  has a leading term  $p_{d(p)}$  in  $\mathcal{C}_A$  if and only if  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ .

In Section 5, we study functions  $f, g \in \text{Hol}(\mathbb{C}^2)$  satisfying  $|f| \leq K|g|$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $K, r > 0$ .

In Section 6, we study the case  $l_0 \neq 0$  in (1.1) using unitary transformations.

So far we studied function theoretic properties of polynomials having leading terms. Applying them, we study quasi-invariant subspaces in the Fock space. In Section 7, we show that if  $p \in \mathcal{C}$  has a leading term  $p_{d(p)}$ , then  $[p]$  is quasi-invariant, and in Section 8, we prove that a quasi-invariant subspace  $M$  is similar to  $[p]$  if and only if  $M = [q]$  for some  $q \in \mathcal{C}$  having the same leading term as  $p$ .

This is a summary of the paper [10].

## 2. DOMINATED POLYNOMIALS

Let  $\mathcal{C}_h = \mathcal{C}_h(\mathbb{C}^2)$  be the sets of homogeneous polynomials on  $\mathbb{C}^2$ . Let  $p \in \mathcal{C}_h$ . If we set  $\zeta = z/w, w \neq 0$ , then  $p$  has the form as

$$p(z, w) = w^{d(p)} p(\zeta, 1) = aw^{d(p)} \prod_{j=1}^k (\zeta - \alpha_j)^{l_j} = aw^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j},$$

where  $a, \alpha_j \in \mathbb{C}, \alpha_i \neq \alpha_j$  for  $i \neq j$ ,  $l_j \in \mathbb{Z}_+$ , and  $d(p) = \sum_{j=0}^k l_j$ . By this fact, for  $s, t \in \mathbb{Z}_+$  with  $s+t = d(p)$ , there exist  $p_1, p_2 \in \mathcal{C}_h$  such that  $p = p_1 p_2 \in \mathcal{C}_h$ ,  $d(p_1) = s$ , and  $d(p_2) = t$ . Let  $q \in \mathcal{C}$  with  $d(q) \leq d(p)$ . If  $q$  has the following form

$$q(z, w) = \sum_{l'_j \leq l_j} a_{(l'_0, \dots, l'_k)} w^{l'_0} \prod_{j=1}^k (z - \alpha_j w)^{l'_j},$$

$q$  is said to be dominated by  $p$ , and write as  $q \ll p$ . In this section, we characterize  $q$  satisfying  $q \ll p$  under the condition  $l_0 = 0$ . The following is the main theorem in this section.

**Theorem 2.1.** *Let  $\{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in C_h$  be such that*

$$p(z, w) = \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$

*and  $l_j \geq 1$  for ever  $1 \leq j \leq k$ . Let  $q \in C$  and  $q = \sum_{i=0}^{d(q)} q_i$  be the homogeneous expansion of  $q$  with  $d(q_i) = i$  if  $q_i \neq 0$ . Then  $q \ll p$  if and only if  $d(q) \leq d(p)$  and*

$$q_{d(p)-i} = q' \prod_{j=1}^k (z - \alpha_j w)^{(l_j-i)_+}$$

*for every  $i$  with  $0 \leq i < \max_{1 \leq j \leq k} l_j$  and  $q' \in C_h$ .*

To prove our theorem, we need some lemmas. It is not difficult to prove the following.

**Lemma 2.1.** *Let  $p_1, p_2 \in C_h$  and  $q_1, q_2 \in C$ .*

- (i) *If  $q_1 \ll p_1$  and  $q_2 \ll p_1$ , then  $q_1 + q_2 \ll p_1$ .*
- (ii) *If  $q_1 \ll p_1$  and  $q_2 \ll p_2$ , then  $q_1 q_2 \ll p_1 p_2$ .*
- (iii) *If  $q_1 \ll p_1$  and  $p_1 \ll p_2$ , then  $q_1 \ll p_2$  and  $q_1 + p_2 \ll p_2$ .*

**Lemma 2.2.** *Let  $\{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in C_h$  be such that*

$$p(z, w) = \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$

*and  $l_j \geq 1$  for every  $1 \leq j \leq k$ . If  $q \in C$  and  $d(q) \leq d(p) - \max_{1 \leq j \leq k} l_j$ , then  $q \ll p$ .*

For each integer  $m$ , let  $m_+ = \max\{m, 0\}$ .

**Lemma 2.3.** Let  $\{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in \mathcal{C}_h$  be such that

$$p(z, w) = \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$

and  $l_j \geq 1$  for every  $1 \leq j \leq k$ . Let  $i_0$  be an integer with  $0 \leq i_0 < \max_{1 \leq j \leq k} l_j$ . Let  $q \in \mathcal{C}_h$  with  $d(q) = d(p) - i_0$ . Then  $q \ll p$  if and only if

$$q = q' \prod_{j=1}^k (z - \alpha_j w)^{(l_j - i_0)_+}$$

for some  $q' \in \mathcal{C}_h$ .

Combining Lemmas 2.2 and 2.3, we can get Theorem 2.1.

### 3. ZEROS OF POLYNOMIALS IN TWO VARIABLES

Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . For  $r > 0$ , let

$$\Omega_{\alpha_j, r} = \Omega_{(\alpha_j, r)} = \{(z, w) \in \mathbb{C}^2; |z - \alpha_j w| < r\}$$

and

$$\Omega_{A, r} = \Omega_{(A, r)} = \bigcup_{j=1}^k \Omega_{\alpha_j, r}.$$

In this section, we prove the following.

**Theorem 3.1.** Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in \mathcal{C}$  be such that  $d(p) \geq 1$  and

$$p_{d(p)} = \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

Then  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A, r}$  for some  $r > 0$  if and only if there exists  $r > 0$  such that

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r)}^1(w)} |p(z, w)|}{|w|^{d(p) - l_j}} < \infty$$

for every  $1 \leq j \leq k$ .

To prove our theorem, we need some lemmas. For each fixed  $w, |w| > 1$ , let

$$D_{\alpha_j, r}^1(w) = D_{(\alpha_j, r)}^1(w) = \{z \in \mathbb{C}; |z - \alpha_j w| < r\},$$

$$D_{\alpha_j, r}^2(w) = D_{(\alpha_j, r)}^2(w) = \{\zeta \in \mathbb{C}; |\zeta - \alpha_j| < r/|w|\},$$

$$D_{\alpha_j, r}^3(w) = D_{(\alpha_j, r)}^3(w) = \{z \in \mathbb{C}; |z - \alpha_j w| < r|w|\},$$

and

$$D_{\alpha_j, r}^4 = D_{(\alpha_j, r)}^4 = \{\zeta \in \mathbb{C}; |\zeta - \alpha_j| < r\}.$$

Then  $D_{\alpha_j, r}^1(w) \subset D_{\alpha_j, r}^3(w)$  and the mappings

$$(3.3) \quad D_{\alpha_j, r}^1(w) \ni z \rightarrow \zeta = z/w \in D_{\alpha_j, r}^2(w),$$

$$(3.4) \quad D_{\alpha_j, r}^3(w) \ni z \rightarrow \zeta = z/w \in D_{\alpha_j, r}^4$$

are one to one and onto. It is not difficult to show the following.

**Lemma 3.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$  and  $r > 0$ . Then we have the following.*

- (i) *For a large  $w$ ,  $D_{\alpha_i, r}^1(w) \cap D_{\alpha_j, r}^1(w) = \emptyset$  for  $i \neq j$ .*
- (ii) *If  $|\alpha_i - \alpha_j| > r_0 > 0$ , then for a large  $w$ ,  $D_{\alpha_j, r}^1(w) \subset D_{\alpha_j, r_0}^3(w)$  and  $D_{\alpha_i, r}^1(w) \cap D_{\alpha_j, r_0}^3(w) = \emptyset$ .*
- (iii) *If  $\alpha \in \mathbb{C} \setminus A$ , then for a large  $w$ ,  $(\alpha w, w) \in \mathbb{C}^2 \setminus \Omega_{A, r}$ .*
- (iv)  *$(z, w) \in \Omega_{A, r}$  if and only if  $z \in \bigcup_{j=1}^k D_{\alpha_j, r}^1(w)$ .*

**Lemma 3.2.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in \mathcal{C}$  be such that*

$$p_{d(p)} = \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

*Let  $r_0 > 0$  be such that  $2r_0 < \min_{i \neq j} |\alpha_i - \alpha_j|$ . Then for each  $j$  with  $1 \leq j \leq k$ , we have the following.*

- (i) *For a large  $w$ , the function  $p(\zeta w, w)/w^{d(p)}$  in  $\zeta$  has  $l_j$ -zeros in  $D_{\alpha_j, r_0}^4$  counting multiplicities.*
- (ii) *For a large  $w$ , the function  $p(z, w)$  in  $z$  has  $l_j$ -zeros in  $D_{\alpha_j, r_0}^3(w)$  counting multiplicities.*

**Proposition 3.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in \mathcal{C}$  be such that*

$$p_{d(p)} = \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

*Then for each  $j$  with  $1 \leq j \leq k$ , we have the following.*

- (i) If  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ , then for a large  $w$  the function  $p(z, w)$  in  $z$  has  $l_j$ -zeros in  $D_{\alpha_j, r}^1(w)$  counting multiplicities for every  $1 \leq j \leq k$ .

Conversely, for  $r > 0$  and a large  $w$ , the function  $p(z, w)$  in  $z$  has  $l_j$ -zeros in  $D_{\alpha_j, r}^1(w)$  counting multiplicities for every  $1 \leq j \leq k$ , then there exists  $r_1 > 0$  such that  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A, r_1}$ .

- (ii) If  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ , then for a large  $w$  the function  $p(\zeta w, w)$  in  $\zeta$  has  $l_j$ -zeros in  $D_{\alpha_j, r}^2(w)$  counting multiplicities for every  $1 \leq j \leq k$ .

Conversely, for  $r > 0$  and a large  $w$ , the function  $p(\zeta w, w)$  in  $\zeta$  has  $l_j$ -zeros in  $D_{\alpha_j, r}^2(w)$  counting multiplicities for every  $1 \leq j \leq k$ , then there exists  $r_1 > 0$  such that  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A, r_1}$ .

For  $R > 0$ , let

$$B_R = \{(z, w) \in \mathbb{C}^2; |z|^2 + |w|^2 < R^2\}.$$

**Lemma 3.3.** Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p \in \mathcal{C}$  be such that

$$p_{d(p)}(z, w) = \prod_{j=1}^{k-1} (z - \alpha_j w)^{l_j}$$

and  $l_j \geq 1$  for every  $1 \leq j \leq k-1$ . Then we have the following.

(i)

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_k, r)}^1(w)} |p(z, w)|}{|w|^{d(p)}} < \infty.$$

- (ii)  $|p| > 0$  on  $\Omega_{\alpha_k, r} \setminus B_R$  for some  $r, R > 0$ .

**Remark 3.1.** By the proof, if

$$p_{d(p)} = \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$



and  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$ , then

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty.$$

If  $r_1 > r$ , then  $\mathbb{C}^2 \setminus \Omega_{A,r_1} \subset \mathbb{C}^2 \setminus \Omega_{A,r}$ , so that  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r_1}$ . Hence

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r_1)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty.$$

If  $r_2 < r$ , then  $D_{\alpha_j, r_2}^1(w) \subset D_{\alpha_j, r}^1(w)$ , so that

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r_2)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty.$$

Hence if  $|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ , then

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty.$$

for every  $r > 0$ .

#### 4. LEADING TERMS

The following is the main theorem in this section.

**Theorem 4.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Further we assume that  $\alpha_j \neq 0$  for every  $j$ . Let  $p \in \mathcal{C}$  be such that  $d(p) \geq 1$ . Then the following conditions are equivalent.*

- (i)  *$p$  has a leading term  $p_{d(p)}$  such that  $p_{d(p)} \in \mathcal{C}_A$ .*
- (ii) *There exist  $c_1, c_2 > 0$  such that  $c_1 < |p/p_{d(p)}| < c_2$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ .*
- (iii)  *$|p| > 0$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $r > 0$ .*

#### 5. PARTIAL ORDER IN $\text{Hol}(\mathbb{C}^2)$

Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . For  $f, g \in \text{Hol}(\mathbb{C}^2)$ , we write  $f \preceq_A g$  if  $|f| \leq M|g|$  on  $\mathbb{C}^2 \setminus \Omega_{A,r}$  for some  $M, r > 0$ . Then  $\text{Hol}(\mathbb{C}^2)$  is a partially ordered set with  $\preceq_A$ . First we prove the following theorem.

**Theorem 5.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $p, q \in \mathcal{C}(\mathbb{C}^2)$  be such that  $p, q$  do not have common factor. Then  $q \preceq_A p$  if and only if  $p_{d(p)} \in \mathcal{C}_A$ ,  $p \ll p_{d(p)}$ , and  $q \ll p_{d(p)}$ .*

To prove our theorem, we need some lemmas. In [2], Chen, Guo, and Hou proved the following.

**Lemma 5.1.** *Let  $f, g \in \text{Hol}(\mathbb{C})$ . Then  $|f(z)| \leq M|g(z)|$  on  $\{|z| > r\}$  for some  $r, M > 0$  if and only if there exist  $p, q \in \mathcal{C}(\mathbb{C})$  with  $d(q) \leq d(p)$  such that  $f/g = q/p$ .*

In [6], Guo proved the following.

**Lemma 5.2.** *Let  $f(z, w)$  be in the Nevanlinna class on the polydisk  $\mathbb{D}^2$ . Suppose that the slice function  $f_{(z,w)}(\lambda) = f(\lambda z, \lambda w)$  is rational in  $\lambda$  for almost all  $(z, w) \in \mathbb{T}^2$ . Then  $f$  is a rational function.*

**Lemma 5.3.** *Let  $f = q/p$  be a rational function, where  $p$  and  $q$  have no common factor. If  $f$  is analytic in  $\Omega \subset \mathbb{C}^2$ , then  $Z(p) \cap \Omega = \emptyset$ .*

The following is the main theorem in this section.

**Theorem 5.2.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $f, g \in \text{Hol}(\mathbb{C}^2)$ . Then  $f \preceq_A g$  if and only if there exist  $p, q \in \mathcal{C}$  such that  $f/g = q/p$ ,  $p_{d(p)} \in \mathcal{C}_A$ ,  $p \ll p_{d(p)}$ , and  $q \ll p_{d(p)}$ .*

## 6. UNITARY TRANSFORMATIONS

In Sections 2-5, we studied the case  $l_0 = 0$  in (1.1). In this section, we study the case  $l_0 \neq 0$ . Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Let  $\tilde{\mathcal{C}}_A$  be the set of  $p \in \mathcal{C}_h$  such that

$$p(z, w) = aw^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}, \quad a \in \mathbb{C}$$

and

$$\tilde{\Omega}_{A,r} = \tilde{\Omega}_{(A,r)} = \{(z, w) \in \mathbb{C}^2; |w| < r\} \cup \Omega_{A,r}$$

for  $r > 0$ . For each  $\alpha \in \mathbb{C}$  with  $\alpha \neq 0$ , let

$$A_\alpha = \left\{ \frac{\alpha_j - \alpha}{1 + \bar{\alpha}\alpha_j} \right\}_{j=1}^k$$

and

$$\tilde{A}_\alpha = \left\{ \frac{1}{\bar{\alpha}}, \frac{\alpha_j - \alpha}{1 + \bar{\alpha}\alpha_j} \right\}_{j=1}^k.$$

For  $\alpha \in \mathbb{C}$ , let

$$\begin{pmatrix} z \\ w \end{pmatrix} = U_\alpha \begin{pmatrix} u \\ v \end{pmatrix} = \frac{1}{\sqrt{1 + |\alpha|^2}} \begin{pmatrix} 1 & \alpha \\ -\bar{\alpha} & 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}.$$

Then  $U_\alpha$  is a unitary transformation on  $\mathbb{C}^2$ . If  $p \in \mathcal{C}$ , then  $p \circ U_\alpha$  is a polynomial in variables  $u$  and  $v$ . It is not difficult to show the following.

**Lemma 6.1.**

- (i)  $U_\alpha^{-1} = U_{-\alpha}$ .
- (ii)  $d(p) = d(p \circ U_\alpha)$  for  $p \in \mathcal{C}$ .
- (iii) Let  $p \in \mathcal{C}_h$  and  $q \in \mathcal{C}$ . If  $q \ll p$ , then  $q \circ U_\alpha \ll p \circ U_\alpha$ .
- (iv) Let  $p \in \mathcal{C}$ . Then  $p$  has a leading term  $p_{d(p)}$  if and only if  $p \circ U_\alpha$  has a leading term  $(p \circ U_\alpha)_{d(p)}$ .
- (v) If  $\alpha \neq 0$ , then

$$U_\alpha^{-1}(\{(z, w); |w| < r\}) = \Omega\left(\frac{1}{\bar{\alpha}}, \frac{r\sqrt{1+|\alpha|^2}}{|\alpha|}\right).$$

- (vi) If  $\bar{\alpha}\beta \neq -1$ , then

$$U_\alpha^{-1}(\Omega_{\beta, r}) = \Omega\left(\frac{\beta - \alpha}{1 + \bar{\alpha}\beta}, \frac{r\sqrt{1+|\alpha|^2}}{|1 + \bar{\alpha}\beta|}\right).$$

- (vii) If  $\bar{\alpha}\alpha_j \neq -1$  for every  $1 \leq j \leq k$ , then  $p \in \mathcal{C}_A$  if and only if  $p \circ U_\alpha \in \mathcal{C}_{A_\alpha}$ , and  $p \in \tilde{\mathcal{C}}_A$  if and only if  $p \circ U_\alpha \in \mathcal{C}_{\tilde{A}_\alpha}$ .
- (viii)  $\mathcal{C}_{\tilde{A}_\alpha} \circ U_{-\alpha} = \tilde{\mathcal{C}}_A$ .

Applying Lemma 6.1, we give generalizations of results proved in the previous sections. The following is a generalization of Theorem 2.1.

**Theorem 6.1.** Let  $p \in \tilde{\mathcal{C}}_A$  be such that

$$p(z, w) = w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

Let  $q \in \mathcal{C}$  and  $q = \sum_{i=0}^{d(q)} q_i$  be the homogeneous expansion of  $q$  with  $d(q_i) = i$  if  $q_i \neq 0$ . Then  $q \ll p$  if and only if  $d(q) \leq d(p)$  and

$$q_{d(p)-i} = q' w^{(l_0-i)+} \prod_{j=1}^k (z - \alpha_j w)^{(l_j-i)+}$$

for every  $i$  with  $0 \leq i < \max_{0 \leq j \leq k} l_j$  and  $q' \in \mathcal{C}_h$ .

**Corollary 6.1.** *Let  $p \in \tilde{\mathcal{C}}_A$  be such that*

$$p(z, w) = w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$

*and  $l_j \leq 1$  for every  $0 \leq j \leq k$ . Then  $p_1 \ll p$  for every  $p_1 \in \mathcal{C}$  with  $d(p_1) < d(p)$ .*

**Corollary 6.2.** *Let  $p \in \tilde{\mathcal{C}}_A$  be such that*

$$p(z, w) = w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

*Then  $p_1 \ll p$  for every  $p_1 \in \mathcal{C}$  with  $\deg p_1 < \deg p - \max_{0 \leq j \leq k} l_j$ .*

The following theorem shows the most easy way to check whether  $q \ll p$  or not.

**Theorem 6.2.** *Let  $p \in \tilde{\mathcal{C}}_A$  be such that*

$$p(z, w) = w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}$$

*and let  $q \in \mathcal{C}$ . Then  $q \ll p$  if and only if  $d(q) \leq d(p)$  and the following three conditions hold.*

- (i)  $d_z(q) \leq d(p) - l_0$ .
- (ii) If  $\alpha_{j_0} = 0$  for some  $j_0, 1 \leq j_0 \leq k$ , then  $d_w(q) \leq d(p) - l_{j_0}$ .
- (iii) Suppose that  $\alpha_m \neq 0, 1 \leq m \leq k$ . Then  $d_v(q \circ U_{\alpha_m}) \leq d(p) - l_m$ .

The following is a generalization of Theorem 3.1.

**Theorem 6.3.** *Let  $p \in \tilde{\mathcal{C}}_A$  be such that  $d(p) \geq 1$  and*

$$p_{d(p)}(z, w) = w^{l_0} \prod_{j=1}^k (z - \alpha_j w)^{l_j}.$$

Then  $|p| > 0$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $r > 0$  if and only if there exists  $r > 0$  such that

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty$$

for every  $1 \leq j \leq k$ , and

$$\limsup_{|z| \rightarrow \infty} \frac{\sup_{|w| < r} |p(z, w)|}{|z|^{d(p)-l_0}} < \infty.$$

The following is a generalization of Theorem 4.1 and [8, Proposition 2.4].

**Theorem 6.4.** *Let  $p \in \mathcal{C}$  be such that  $d(p) \geq 1$ . Then the following conditions are equivalent.*

- (i)  *$p$  has a leading term  $p_{d(p)}$  such that  $p_{d(p)} \in \tilde{\mathcal{C}}_A$ .*
- (ii) *There exist  $c_1, c_2 > 0$  such that  $c_1 < |p/p_{d(p)}| < c_2$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $r > 0$ .*
- (iii)  *$|p| > 0$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $r > 0$ .*

For  $f, g \in \text{Hol}(\mathbb{C}^2)$ , we write  $f \preceq_{\tilde{A}} g$  if  $|f| \leq M|g|$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $M, r > 0$ . The following is a generalization of Theorem 5.1.

**Theorem 6.5.** *Let  $p, q \in \mathcal{C}$  be such that  $p, q$  do not have common factor. Then  $q \preceq_{\tilde{A}} p$  if and only if  $p_{d(p)} \in \tilde{\mathcal{C}}_A$ ,  $p \ll p_{d(p)}$ , and  $q \ll p_{d(p)}$ .*

The following is a generalization of Theorem 5.2 and [8, Theorem 2.5].

**Theorem 6.6.** *Let  $f, g \in \text{Hol}(\mathbb{C}^2)$ . Then  $f \preceq_{\tilde{A}} g$  if and only if there exist  $p, q \in \mathcal{C}$  such that  $f/g = q/p$ ,  $p_{d(p)} \in \tilde{\mathcal{C}}_A$ ,  $p \ll p_{d(p)}$ , and  $q \ll p_{d(p)}$ .*

Combine with Theorems 6.3 and 6.4, we have the following.

**Corollary 6.3.** *Let  $p \in \mathcal{C}$  be such that  $d(p) \geq 1$ . Then the following conditions are equivalent.*

- (i)  *$p$  has a leading term  $p_{d(p)}$  such that  $p_{d(p)} \in \tilde{\mathcal{C}}_A$ .*
- (ii)  *$|p| > 0$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $r > 0$ .*

- (iii)  $p_{d(p)} \in \tilde{\mathcal{C}}_A$  and there exist  $c_1, c_2 > 0$  such that  $c_1 \leq |p/p_{d(p)}| \leq c_2$  on  $\mathbb{C}^2 \setminus \tilde{\Omega}_{A,r}$  for some  $r > 0$ .
- (iv)  $p_{d(p)} = aw^{l_0}(z - \alpha_1 w)^{l_1}(z - \alpha_2 w)^{l_2} \cdots (z - \alpha_k w)^{l_k}$ ,  $a \neq 0$ , and there exists  $r > 0$  such that

$$\limsup_{|w| \rightarrow \infty} \frac{\sup_{z \in D_{(\alpha_j, r)}^1(w)} |p(z, w)|}{|w|^{d(p)-l_j}} < \infty$$

for every  $1 \leq j \leq k$ , and

$$\limsup_{|z| \rightarrow \infty} \frac{\sup_{|w| < r} |p(z, w)|}{|z|^{d(p)-l_0}} < \infty.$$

## 7. QUASI-INVARIANT SUBSPACES

Let  $p \in \mathcal{C}$ . If  $p \ll p_{d(p)}$  holds, we say that  $p$  has a leading term  $p_{d(p)}$ . It is not known whether  $[p] = \overline{p\mathcal{C}}$  is quasi-invariant for every  $p \in \mathcal{C}$ . It is known that if  $p \in \mathcal{C}_h$ , then  $[p]$  is quasi-invariant, see [1, Proposition 5.5.1]. In Theorem 4.4 of [8], Guo and Hou proved that if  $p \in \mathcal{C}$  has a leading term  $z^m w^n$ , then  $[p]$  is quasi-invariant. The following is the main theorem in this section.

**Theorem 7.1.** *Let  $p \in \mathcal{C}$  be having a leading term  $p_{d(p)}$ . Then we have the following.*

- (i)  $[p]/p = [p_{d(p)}]/p_{d(p)}$ .
- (ii)  $[p]$  is quasi-invariant.
- (iii)  $[p] = \{pf \in L_a^2(\mathbb{C}^2); f \in \text{Hol}(\mathbb{C}^2)\} = \{pf \in L_a^2(\mathbb{C}^2); f \in L_a^2(\mathbb{C}^2)\}.$

Recall that

$$\Omega_{\alpha, r} = \{(z, w) \in \mathbb{C}^2; |z - \alpha w| < r\}$$

for  $\alpha \in \mathbb{C}$  and  $r > 0$ . Then  $\Omega_{0, r} = \{(z, w); |z| < r\}$ . For  $R \geq 0$ , let

$$\Omega_{0, r, R} = \Omega_{(0, r, R)} = \{(z, w) \in \mathbb{C}^2; |z| < r, |w| \geq R\}.$$

Note that  $\Omega_{0, r, 0} = \Omega_{0, r}$ . For a subset  $\Omega$  of  $\mathbb{C}^2$ , let

$$\|f\|_{\Omega}^2 = \int_{\Omega} |f(z, w)|^2 e^{-\frac{|z|^2 + |w|^2}{2}} dA(z, w) / (2\pi)^2.$$

As the proof of Theorem 3.1 in [8], we have the following.

**Lemma 7.1.** *Let  $r_1, r_2, r_3 > 0$  and  $r_1 < r_2$ . Then there exists a constant  $C > 0$ , depends on  $r_1, r_2$ , and  $r_3$ , such that*

$$\|f\|_{\Omega_{0,r_2}} \leq C \|f\|_{(\Omega_{(0,r_2,r_3)} \setminus \Omega_{(0,r_1,r_3)})}$$

for every  $f \in \text{Hol}(\mathbb{C}^2)$ .

Recall that  $U_\alpha, \alpha \in \mathbb{C}$ , are unitary transformations of  $\mathbb{C}^2$ ;

$$\begin{pmatrix} z \\ w \end{pmatrix} = U_\alpha \begin{pmatrix} u \\ v \end{pmatrix} = \frac{1}{\sqrt{1+|\alpha|^2}} \begin{pmatrix} 1 & \alpha \\ -\bar{\alpha} & 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}.$$

It is easy to see the following.

**Lemma 7.2.**

- (i)  $\|f\|_\Omega = \|f \circ U_\alpha\|_{U_\alpha^{-1}\Omega} = \|f \circ U_\alpha^{-1}\|_{U_\alpha\Omega}$  for  $\Omega \subset \mathbb{C}^2$  and  $f \in \text{Hol}(\mathbb{C}^2)$ .
- (ii)  $U_\alpha(\Omega_{\alpha,r}) = \Omega_{(0,r/\sqrt{1+|\alpha|^2})}$ .
- (iii)  $\Omega_{(0,\frac{r}{\sqrt{1+|\alpha|^2}},t)} \subset U_\alpha(\Omega_{\alpha,r} \setminus B_t)$ , where  $B_t = \{(z,w); |z|^2 + |w|^2 < t\}$ .

**Lemma 7.3.** —it For  $\alpha \in \mathbb{C}, r_2 > r_1 > 0$ , and  $t > 0$ , there exists a constant  $C > 0$ , depends on  $r_1, r_2$ , and  $t$ , such that

$$\|f\|_{\Omega_{\alpha,r_2}} \leq C \|f\|_{(\Omega_{\alpha,r_2} \setminus (\Omega_{\alpha,r_1} \cup B_t))}$$

for every  $f \in \text{Hol}(\mathbb{C}^2)$ .

Recall that  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}, \alpha_i \neq \alpha_j$  for  $i \neq j$ , and

$$\Omega_{A,r} = \bigcup_{j=1}^k \Omega_{\alpha_j,r} \quad \text{and} \quad \tilde{\Omega}_{A,r} = \{(z,w); |w| < r\} \cup \Omega_{A,r}$$

for  $r > 0$ . The following lemma is not difficult to prove.

**Lemma 7.4.** *For  $\alpha \in \mathbb{C}$  and  $r_1 > r > 0$ , there exists a large  $t > 0$  such that*

$$(\Omega_{\alpha_i,r_1} \setminus (\Omega_{\alpha_i,r} \cup B_t)) \cap (\Omega_{\alpha_j,r_1} \setminus (\Omega_{\alpha_j,r} \cup B_t)) = \emptyset$$

for  $i \neq j$  and

$$\Omega_{\alpha_j,r_1} \setminus (\Omega_{\alpha_j,r} \cup B_t) \subset \mathbb{C}^2 \setminus \Omega_{A,r}$$

for every  $1 \leq j \leq k$ .

**Proposition 7.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Then for each  $r > 0$ , there exists a constant  $C > 0$ , depends on  $r$ , such that  $C\|f\| \leq \|f\|_{\mathbb{C}^2 \setminus \Omega_{A,r}}$  for every  $f \in \text{Hol}(\mathbb{C}^2)$ .*

**Corollary 7.1.** *Let  $A = \{\alpha_j\}_{j=1}^k \subset \mathbb{C}$  be such that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Then for each  $r > 0$ , there exists a constant  $C > 0$ , depends on  $r$ , such that  $C\|f\| \leq \|f\|_{\mathbb{C}^2 \setminus \hat{\Omega}_{A,r}} \leq \|f\|$  for every  $f \in \text{Hol}(\mathbb{C}^2)$ .*

The following is a generalization of [8, Theorem 3.1].

**Corollary 7.2.** *Let  $f, g \in \text{Hol}(\mathbb{C}^2)$ . If  $f \preceq_{\bar{A}} g$  and  $g \in L_a^2(\mathbb{C}^2)$ , then  $f \in L_a^2(\mathbb{C}^2)$ .*

By Corollary 6.1 and Theorem 7.1, we have the following.

**Corollary 7.3.** *Let  $p \in \mathcal{C}$ . If  $p_{d(p)} = aw(z - \alpha_1 w) \cdots (z - \alpha_k w)$  and  $\alpha_i \neq \alpha_j$  for  $i \neq j$ , then  $[p]$  is quasi-invariant.*

**Corollary 7.4.** *Let  $p \in \mathcal{C}$  be having a leading term  $p_{d(p)}$ . Then there exists a similar module map  $T$  from  $[p_{d(p)}]$  onto  $[p]$  such that  $Tf = (pf)/p_{d(p)}$  for  $f \in [p_{d(p)}]$ .*

## 8. QUASI-SIMILARITY

If  $p \in \mathcal{C}$  is a polynomial with the leading term  $p_{d(p)}$ , then by Corollary 7.4  $[p]$  and  $[p_{d(p)}]$  are similar. The following is the main theorem in this section and a generalization of [8, Theorem 4.7].

**Theorem 8.1.** *Let  $M$  be a quasi-invariant subspace of  $L_a^2(\mathbb{C}^2)$ . Let  $p \in \mathcal{C}_h$  be a homogeneous polynomial. Then  $[p]$  and  $M$  are quasi-similar if and only if  $M = [q]$  for some  $q \in \mathcal{C}$  having the leading term  $p$ .*

To prove this, we need some lemmas. The following is proved by Guo and Hou [8, Lemma 4.6].

**Lemma 8.1.** *Let  $M_1, M_2$  be quasi-invariant subspaces of  $L_a^2(\mathbb{C}^2)$ . Let  $T$  be a quasi-module map from  $M_1$  to  $M_2$ . Suppose that  $p \in \mathcal{C} \cap M_1$  and  $p \neq 0$ . Let  $q = Tp$ . Then  $q$  is a polynomial,  $d_z(q) \leq d_z(p)$ , and  $d_w(q) \leq d_w(p)$ .*

Similarly, we have the following.



**Lemma 8.2.** *Let  $M_1, M_2$  be quasi-invariant subspaces of  $L_a^2(\mathbb{C}^2)$ . Let  $T : M_1 \rightarrow M_2$  be a quasi-module map. Suppose that  $p \in \mathcal{C} \cap M_1$  and  $p \neq 0$ . Let  $q = Tp$ . Then  $q$  is a polynomial and  $d(q) \leq d(p)$ .*

The following lemma is obvious. To clear our argument, we give here.

**Lemma 8.3.** *Let  $p \in \mathcal{C}_h$  and  $q \in \mathcal{C}$ . Then  $q$  has a leading term  $ap, a \in \mathbb{C}, a \neq 0$ , if and only if  $d(q) = d(p)$  and  $q \ll p$ .*

For  $\alpha \in \mathbb{C}$ , let

$$\begin{pmatrix} z \\ w \end{pmatrix} = U_\alpha \begin{pmatrix} u \\ v \end{pmatrix} = \frac{1}{\sqrt{1+|\alpha|^2}} \begin{pmatrix} 1 & \alpha \\ -\bar{\alpha} & 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix},$$

and we use the same notations as in Section 6. Let  $M_1, M_2$  be quasi-invariant subspaces of  $L_a^2(\mathbb{C}^2)$  and

$$M_i \circ U_\alpha = \left\{ f \circ U_\alpha \begin{pmatrix} u \\ v \end{pmatrix}; f \in M_i \right\}.$$

Then it is not difficult to see that  $M_i \circ U_\alpha$  is quasi-invariant in variables  $u$  and  $v$ . Let  $C_{U_\alpha}$  be the unitary operator from  $M_i$  onto  $M_i \circ U_\alpha$  defined by

$$(C_{U_\alpha} f) \begin{pmatrix} u \\ v \end{pmatrix} = f \circ U_\alpha \begin{pmatrix} u \\ v \end{pmatrix}.$$

Let  $T : M_1 \rightarrow M_2$  be a quasi-module map. Then we have a map

$$M_1 \circ U_\alpha \ni g(u, v) \rightarrow C_{U_\alpha} T C_{U_\alpha}^{-1} \in M_2 \circ U_\alpha.$$

It is also not difficult to see that  $C_{U_\alpha} T C_{U_\alpha}^{-1}$  is a quasi-module map.

**Corollary 8.1.** *Let  $M$  be a quasi-invariant subspace, and let  $p \in \mathcal{C}$  be having a leading term  $p_{d(p)}$ . Then the following conditions are equivalent.*

- (i)  $M$  is similar to  $[p]$ .
- (ii)  $M$  is quasi-similar to  $[p]$ .
- (iii)  $M = [q]$  for some  $q \in \mathcal{C}$  having a leading term  $p_{d(p)}$ .

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